I hereby certify that this correspondence is being electronically filed in the United States Patent and

Trademark Office on June 26, 2007

Glenn P. Ladwig, Patent Attorney

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Examining Group 1632

Patent Application

Docket No. USF-182XC1 Serial No. 10/655,873

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Marcia Stephens Noble

Art Unit

1632

Applicants

Shyam S. Mohapatra, Mukesh Kumar

Serial No.

10/655,873

Filed

September 5, 2003

Conf. No.

6872

For

Genetic Adjuvants for Immunotherapy

MS AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.97 AND 1.98

Sir:

In accordance with 37 C.F.R. §1.56, the reference listed on the attached form PTO/SB/08 is being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. A copy of the cited reference is enclosed. However, the applicants have not submitted a copy of the U.S. patent cited on attached Form PTO/SB/08 pursuant to 37 C.F.R. §1.98(a)(2)(ii).

This information is being submitted subsequent to the later of three months after the filing date of the present application or the mailing of the first Office Action on the merits, but before the mailing of a final action or the notice of allowance. Please charge the fee of \$180.00 to Deposit Account No. 19-0065.

The applicants respectfully assert that the substantive provisions of 37 C.F.R. §§1.97 and 1.98 are met by the foregoing statements.

Respectfully submitted,

Glenn P. Ladwig Patent Attorney

Registration No. 46,853

Phone No.: 352-375-8100 Fax No.: 352-372-5800 Address: P.O. Box 142950

Gainesville, FL 32614-2950

GPL/jil

Attachments: Form PTO/SB/08 (2 pages); copy of reference cited therein

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Substitute for form 1449A/PTO | | | | Complete if Known | | |
|-----------------------------------|---|----|----|------------------------|-----------------------|--|
| | | | | Application Number | 10/655,873 | |
| INFORMATION DISCLOSURE | | | | Filing Date | September 5, 2003 | |
| STATEMENT BY APPLICANT | | | 11 | First Named Inventor | Shyam S. Mohapatra | |
| (use as many sheets as necessary) | | | | Art Unit | 1632 | |
| | | | | Examiner Name | Marcia Stephens Noble | |
| Sheet | 1 | of | 2 | Attorney Docket Number | USF-182XC1 | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|---------------|------------------------------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|--|
| Examiner Initials* | Cite No. 1 | Document Number Number - Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
| | U1 | US-7,052,685 B1 | 05-30-2006 | Rook | All | |
| | U | US- | | | | |
| | U | US- | | | | |
| | U | US- | | | | |
| | U | US- | | | | |
| | U | US- | | | | |
| | U | US- | | | | |
| | U | US- | | | | |
| | υ | US- | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|---------------|-------------------------------------------------------------------------------------|--------------------------------|-----------------------------|-------------------------------------------------------|----------------|
| | | Foreign Patent Document | Dublication Date | Name of Patentee or | Pages, Columns, Lines, | |
| Examiner Initials* | Cite No. 1 | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Applicant of Cited Document | Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | F1 | | | | | |
| | F2 | | | | | |
| | F3 | | | | | |
| | F4 | | | | | |
| | F5 | | | | | |
| | F6 | | | | | |
| | F7 | | | | | |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard T.3). For Japanese patent documents, the indication of the year of the reign of the Empire must precede the serial number of the patent document. § Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. § Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

2

2

Sheet

Complete if Known

Application Number 10/655,873

Filing Date September 5, 2003

First Named Inventor Shyam S. Mohapatra

Group Art Unit 1632

Examiner Name Marcia Stephens Noble

Attorney Docket Number USF-182XC1

| NON PATENT LITERATURE DOCUMENTS | | | | | |
|---------------------------------|---------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--|--|
| Examiner Initials* | Cite No. 1 | Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² | | |
| | R1 | GROHMANN, U., et al. "Positive Regulatory Role of IL-12 in Macrophages and Modulation by IFN-γ" The Journal of Immunology, 2001, 167:221-227. | | | |
| | R | | | | |
| | R | | | | |
| | | | | | |
| | R | | | | |
| | R | | | | |
| | R | | | | |
| | R | | | | |
| | R | | | | |
| | R | | | | |
| | R | | | | |
| | | | | | |
| | R | | | | |
| - | R | | | | |
| | R | | | | |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

and not considered, include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.